

Terminology and HERDC and ERA calculations

Terminology

Author eligibility differs depending on the research collection. Information about HERDC and ERA author eligibility are given below.

Weighting refers to the Commonwealth Government weighting of publications where:

- books = 5 x calculated institutional apportionment
- book chapters = 1 x calculated institutional apportionment
- journal articles = 1 x calculated institutional apportionment
- conference publications = 1 x calculated institutional apportionment

Institutional Apportionment refers to the apportionment of all ACU authors and is used in External Reporting (HERDC and benchmarking).

Author Apportionment refers to the apportionment of each individual author and is used in Internal Reporting (performance measures, ranking and workload).

Non-affiliated research outputs refers to research publications where the author affiliation is not to ACU. These publications can be reported by ACU for ERA.

Verified refers to a research publication that has already been audited and qualified as a HERDC-eligible research publication or ERA non-traditional research output at a previous University or Institution.

Examples:

HERDC eligible book, written by four authors, two of whom are affiliated with ACU

- Author apportionment = 0.25 for each author
- Institutional apportionment = 0.5 (two ACU authors)
- Weighting = 2.5 (two ACU authors x 5)

HERDC eligible journal article, written by 3 authors, two of whom are affiliated with ACU

- Author apportionment = 0.33 for each author
- Institutional apportionment = 0.66 (two ACU authors)
- Weighting = 0.66 (two ACU authors x 1)

Excellence in Research for Australia (ERA)

Under the **2015 ERA Submission Guidelines**, to be eligible, researchers must meet all of the criteria:

- a) For full-time and fractional full-time Academic staff they must be affiliated with ACU on the staff census date, 31 March 2014 with an FTE of 0.4 or greater.
- b) For all other staff (general, executive, casual, employees of another institution working at ACU as visiting, exchange or seconded and those working on a regular basis but receiving no remuneration including temporary or conjoint, clinical, adjunct, honorary appointees, emeritus professors, unpaid visiting fellows and members of religious denominations) they must be able to demonstrate a publication association with ACU and have an ACU staff id.

Higher Education Research Data Collection (HERDC)

Under the **HERDC specifications**, the author must be affiliated with ACU and the affiliation must be identified on or within the work being claimed (by-line).

Adjuncts and honoraries are considered affiliated only if ACU is identified in the by-line.

Where author affiliation is not identified within a work, the evidence necessary to demonstrate affiliation are:

- a) a statement from the author that they *undertook the research leading to the publication* in their capacity as a staff/student
and
- b) a statement or extract from HR.

Complete the [Author Affiliation Form \(pdf, 75kb\)](#) if you need to demonstrate author affiliation.